

MEMBER PROFILE

ANTHONY QUINN
FOUNDER, ARCTIC INTELLIGENCE



Arctic Intelligence is a global RegTech firm specialising in audit, risk and compliance software related to financial crime compliance and risk management.

THE PROBLEM



We are focused on solving two customer challenges – understanding risk and demonstrating compliance. Founded in 2015, our multi award winning technology has helped hundreds of small, medium and large companies, in over 20 industry sectors and 18 countries, improve their financial crime risk assessments.

HOW WE SOLVE IT



AML Accelerate - designed for small and medium sized companies to guide them through a process to conduct enterprise-wide money laundering and terrorism financing risk assessments, document AML/CTF Programs and track and monitor, issues, breaches and incidents in real-time. [Check out this video.](#)

Risk Assessment - designed for larger companies to conduct risk assessments for financial crime and other risk domains. Uses a highly configurable platform that can be tailored to your organisation's risk assessment methodology, risk, and control libraries relevant to your business and execute these across multiple countries, operating groups, or business units, producing real-time dashboards and reports aggregated across the organisation. [Check out this video.](#)

OUR CUSTOMER IMPACT



- Meet real-time mandatory regulatory compliance requirements.
- Save time and money, reducing compliance costs.
- Easily demonstrate compliance to regulators.
- Provides peace of mind to your Board of Directors.

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CASE STUDY



Jupiter Asset Management is a specialist, high conviction, active asset manager who help their clients achieve their long-term investment objectives through a range of actively managed strategies across equities, fixed income, multi-asset, and alternatives. Jupiter Asset Management is listed on the FTSE250 Index and has £55.3bn of assets under management (as at 30-June-2022).

The Objectives

- To standardise the approach to conducting business-wide risk assessments across various Jupiter Asset Management legal entities
- To increase the efficiency of conducting AML risk assessments and producing timely reports to Senior Executives
- To apply more consistency in the methodology across different legal entities within the Group
- After using AML Accelerate initially on one legal entity, Jupiter Asset Management expanded its use to multiple legal entities within the Group in the UK and other International countries.

The Solution

AML Accelerate has enabled Jupiter Asset Management to:

- Provide a full audit trail by recording the risk narrative and decision-making methodology against the appropriate risk factors, risk categories and risk groups
- Document and assess the effectiveness of mitigating controls against each risk factor
- Allocate individual risk ratings to each customer type, business, product, channel and jurisdiction
- Keep a record of approvals by the Board through publishing and adopting each risk assessment when appropriate. Board reporting on the risk assessment outcomes using the sliding scale which visually gives a concise representation of Jupiter Asset Management's risks.

The Result

Jupiter Asset Management now benefits from having a more standardised approach to conducting AML risk assessments and is easily able to expand this to other legal entities in a timely and cost-effective manner and has realised the following benefits:

- Jupiter Asset Management has enhanced its overall ability to produce risk assessments in a timely manner in a way that uses a consistent and robust methodology, workflow and enterprise-wide reporting framework
- Jupiter Asset Management is also in a better position to be able to identify and assess both the inherent risks and develop an appropriate and proportionate control framework to mitigate and manage the identified risks.

Client Testimonial

"For Jupiter Asset Management, the key benefits that we have realised through using Arctic Intelligence's AML Accelerate solution has been the efficiency and speed of conducting ML/TF risk assessments and writing reports, as well as, the ability to adopt more consistency in our AML risk assessments across different legal entities within the Group." [Senior Advisor AML/CTF](#)

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